

THEOREM: FIRST DERIVATIVE TEST FOR LOCAL EXTREMA

The following test applies to a continuous function $f(x)$.

At a critical point c :

1. If f' changes sign from positive to negative at c ($f' > 0$ for all $x < c$ and $f' < 0$ for all $x > c$), then f has a local **maximum** at c .

$f'(c) = 0$	$f'(c)$ does not exist

2. If f' changes sign from negative to positive at c ($f' < 0$ for all $x < c$ and $f' > 0$ for all $x > c$), then f has a local **minimum** at c .

$f'(c) = 0$	$f'(c)$ does not exist

3. If f' does not change sign at c (f' has the same sign on both sides of c), then f has no local extreme value at c .

$f'(c) = 0$	$f'(c)$ does not exist

➤ **At the left endpoint a:** if $f' < 0$ ($f' > 0$) for all $x > a$, then f has a local maximum (minimum) value at a .

$f'(a) = 0$	$f'(a)$ does not exist

➤ **At the right endpoint b:** if $f' < 0$ ($f' > 0$) for all $x < b$, then f has a local minimum (maximum) value at b .

$f'(b) = 0$	$f'(b)$ does not exist

Example: Use the first derivative test to identify all local extrema of $y = -x^3 + 12x - 5$

Note: y is a polynomial function that is continuous and differentiable on its entire domain. So the only critical points are those where y has a horizontal tangent.

Step 1: Find dy/dx and the points where it is zero.

Step 2: Use these points to split a number line into intervals. Within each interval identify whether the dy/dx is positive or negative.

Step 3: Identify whether the critical points yield a local maximum or minimum.

Step 4. Sketch the graph of y to check your work.

CONCAVITY

DEFINITION: CONCAVITY

The graph of a differentiable function $y = f(x)$ is

1. **Concave up** on an open interval I if y' is increasing on I .
2. **Concave down** on an open interval I if y' is decreasing on I .

Examples:

- **Concavity Test: The graph of a twice-differentiable function $y = f(x)$ is**
1. **concave up on any interval where $y'' > 0$**
 2. **concave down on any interval where $y'' < 0$**

Example: Identify intervals on which $y = 2x^3 - x^2 - 5x$ is concave up and concave down.

POINTS OF INFLECTION

DEFINITION: a point where the graph of a function has a tangent line and where the concavity changes, is a point of inflection.

- A point of inflection can be either at the point where the second derivative zero or at the point where the second derivative does not exist.
- Not all points that have a zero second derivative are inflection points.
- An inflection point implies the change in the sign of the second derivative.

Examples:

SECOND DERIVATIVE TEST FOR LOCAL EXTREMA

1. If $f'(c) = 0$ and $f''(c) < 0$, then f has a local maximum at $x = c$.
2. If $f'(c) = 0$ and $f''(c) > 0$, then f has a local minimum at $x = c$.

Note: this test fails if $f''(c) = 0$ or when $f''(c)$ fails to exist and the first derivative test must be used instead.

Copy the chart from p 213:
